

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
33914US-CNT1 (KDK)

Total Pages in this Submission
4

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

PROCESS FOR CONVERTING CARBON DIOXIDE TO OXYGENATES

and invented by:

JIANHUA YAO
JAMES B. KIMBLE

03945 U.S. PTO
10/683974

10/1003

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/963,831

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 19 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☐ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets _____
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL 993325664 US

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Accompanying Application Parts (Continued)

17. ☐ Additional Enclosures *(please identify below)*:

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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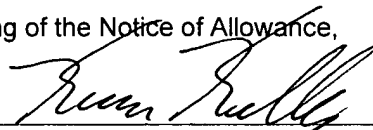
Total Pages in this Submission
4

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	42	- 20 =	22	x \$18.00	\$396.00
Indep. Claims	4	- 3 =	1	x \$86.00	\$86.00
Multiple Dependent Claims (check if applicable) ☐					\$0.00
BASIC FEE					\$770.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$1,252.00

- ☒ A check in the amount of \$1,252.00 to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-0522 as described below.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Dated: October 10, 2003

23589



CORRESPONDENCE ADDRESS:

cc: Richmond, Hitchcock, Fish & Dollar
P.O. Box 2443, Bartlesville, OK 74005

Kameron D. Kelly, Reg. No. 44,181
HOVEY WILLIAMS LLP
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
(816) 474-9050

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	)	Examiner:
	)	Group Art Unit:
Yao, Jianhua, et. al.	)	
	)	
Serial No.	)	
	)	
Filed:	)	
	)	
Title: PROCESS FOR CONVERTING CARBON	)	
DIOXIDE TO OXYGENATES	)	

TRANSMITTAL

Transmitted herewith are: Application Transmittal (4 pages); Continuation Application containing Specification (11 pages), Claims (7 pages), Abstract (1 page); Copies of Declaration and Power of Attorney; Copy of Assignments; \$1,252.00 filing fee; and return postcard.

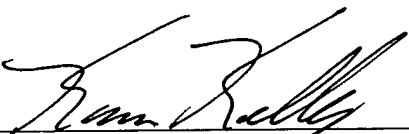
Express Mail No.
EL 993325664 US

Respectfully submitted,

HOVEY WILLIAMS LLP

Dated: October 10, 2003

by


Kameron D. Kelly, Reg. No. 44,181
2405 Grand Boulevard, Suite 400
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(816) 474-9050

ATTORNEYS FOR APPLICANT

33914US-CNT1(KDK)

CORRESPONDENCE ADDRESS:
Richmond, Hitchcock, Fish & Dollar
P.O. Box 2443
Bartlesville, OK 74005

Bonnie J. Anderson

Subject: Check Request
Start Date: Friday, October 10, 2003
Due Date: Friday, October 10, 2003

Status: Not Started
Percent Complete: 0%

Total Work: 0 hours
Actual Work: 0 hours

Owner: Valerie Wright

(If you don't want a receipt from me then please click the check marks above. Also, please remember to put the date!)

Check Request

Client: ConocoPhillips Company (2243.096)	Attorney: KDK
Dkt. No.: 31697 (Ref. No. 33914US)	Requested by: BJA
Inventor: Yao, Jianhua, et al.	Date: 10 Oct 03
Title: Catalyst for Converting Carbon Dioxide to Oxygenates and Processes Therefore and Therewith	
Payable to: Commissioner for Patents	
Amount: \$1,252.00	For: Continuation Application
Amount:	For:

Note: Billing number has not been reserved yet in TABS. Can we use orig app billing#:2243.016 just for this one?

When check is ready, please give to Carrie or Chris. Thanks!